

« Environmental Genetics » doctoral course ABIES-GAIA

## Models in Population Genetics: a Reminder (or an Overview?)

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# What is population genetics?

- Studying genetic variation in populations. Two aspects have been considered in the models:
- **Predictive**: predicting the future composition of a population from its current composition
- **Retrospective**: understanding what determined the current composition of a population

#### **Population genetics**

- Data analysis relies on:
- **Descriptive statistics** (characterizes the structure of the data)
- Define groups of individuals, quantify the distances among them



#### **Population genetics**

- Data analysis relies on:
- Inference methods (requires evolutionary models)



Credits : Fagundes et al. (2007) PNAS 104: 17614-17619

- Define groups of individuals, quantify the distances among them
- Infer population history: since how long populations have diverged? Do they exchange migrants? Is there evidence of admixture between some populations? Etc.

# What is a genetic marker?

### **Types of genetic data**

- A mutation (*single nucleotide polymorphism*, or SNP) in the *MC1R* gene (melanocortin-1 receptor): TT homozygotes at position 478 tend to have freckles and red hairs
- A 32bp deletion in the CCR5 gene (CCR5-Δ32) confers resistance to HIV-1
- Microsatellites markers (short tandem repeats, e.g.: AGAGAGAGAGAGAG...), dispersed in the genomes





## **Detecting differences in genotypes**

 Until the 1960's, only the phenotypic differences can be observed: this is the golden age of ecological genetics

 In the 1960's, protein electrophoresis is developed in a number of (non-model) species

 In the 1980's, PCR and Sanger sequencing are used to sequence DNA (both mitochondrial and nuclear)



## **Detecting differences in genotypes**

 Restriction enzymes (discovered in the 1970's) are used to develop RFLP markers

In the 1990's: genotyping of microsatellite markers

 More recently: *next generation sequencing* (NGS)







### **The NGS revolution**

• Publication of the first two human genome assemblies in 2001



- 2001 (human genome): 7 years for 3 10<sup>9</sup> \$
- 2007 (horse genome): 18 months for 3 10<sup>6</sup> \$
- In 2013, a resequenced human genome costs 1,000 \$
- 1,000 genome projects in humans (McVean et al. 2011), rice (McNally et al. 2014), cattle (Hayes et al. 2014)
- Marker availability is no more limiting...

• We use genetic markers to analyse the distribution of genetic polymorphism within individuals, within populations and among populations...

- An "ideal" genetic markers should:
- be polymorphic!
- have a simple and known heredity!
- be **co-dominant** (yet few methods exist for dominant)
- be **neutral** (only to infer demography)

What is the distribution of genotypes in populations?

#### Allele and genotype frequencies

• With panmixia (random union of gametes), Hardy-Weinberg equilibrium is reached in one generation:

		Α ρ[t]	a q[t]
Male gametes	Α ρ[t]	ΑΑ ρ[ <i>t</i> ]²	Aa p[t]q[t]
	a q[t]	aA q[t]p[t]	aa q[t] <sup>2</sup>

#### Female gametes

AA	$D[t+1] = p[t]^2$
Aa	H[t+1] = 2 p[t] q[t]
aa	$R[t+1] = q[t]^2$

 You may check that allele frequencies are constant • For a bi-allelic locus, in a sample of size *N*:

	Expected	Observed
AA	Np <sup>2</sup>	<i>N</i> <sub>1</sub>
Aa	2Npq	<i>N</i> <sub>2</sub>
аа	Nq <sup>2</sup>	N <sub>3</sub>

• The chi-square test statistic X measures the difference between the observed and the expected numbers. This statistic is distributed as a  $\chi^2$  with 1 degree of freedom (number of genotypes – number of constraints):

$$\chi^2 = \sum_{\text{genotypes}} \frac{(\text{expected - observed})^2}{\text{expected}}$$



HapMap YRI (Africans)

HapMap CEU (Europeans)

 10,000 SNPs from the HapMap CEU European and YRI African populations fit pretty well to expectations

Credits : Graham Coop (https://gcbias.org/2011/10/13/population-genetics-course-resources-hardy-weinberg-eq/)

- panmixia: gametes encounter each other randomly
- All individuals reproduce simultaneously and then die (no overlapping generations)
- Isolated populations: no migration
- Infinite population size
- No mutation
- No selection

- Assortative mating
- Mating systems (e.g., selfing, clonality, etc.)
- Population structure
- Selection

#### The Wahlund effect (1923)

• Over all populations, the **observed** frequencies are:



• If the population was panmictic, the **expected** frequencies would be (with  $\overline{p} = \sum_{i=1}^{n} p_i / n$ ):

AA
$$\overline{p}^2$$
Aa $2\overline{p}\overline{q}$ aa $\overline{q}^2$ 

#### The Wahlund effect (1923)

• The overall proportion of heterozygotes is:

$$\begin{split} H_{\rm O} &= \sum_{i=1}^{n} 2p_i q_i \,/\, n = 2 \sum_{i=1}^{n} (p_i - p_i^2) \,/\, n \\ &= 2 \sum_{i=1}^{n} p_i \,/\, n - 2 \sum_{i=1}^{n} p_i^2 \,/\, n \\ &= 2 \overline{p} - 2(\sigma_p^2 + \overline{p}^2) \\ &= 2 \overline{p} \overline{q} - 2\sigma_p^2 \\ &= 2 \overline{p} \overline{q} (1 - \sigma_p^2 \,/\, \overline{p} \overline{q}) \end{split}$$

• Which is less than the expected proportion in a panmictic unit. We note  $F_{\text{ST}} = \sigma_p^2 / \overline{pq}$ , where  $\sigma_p^2$  is the variance of p between populations, and hence:

$$H_{\rm O} = 2\,\overline{p}\overline{q}(1 - F_{\rm ST})$$



#### Combined HapMap CEU + YRI (Europeans+Africans)

 10,000 SNPs from the HapMap CEU European and YRI African populations fit pretty well to expectations

Credits : Graham Coop (https://gcbias.org/2011/11/05/population-genetics-course-resources-f-statistics/)

- Testing the null hypothesis that "genes (or genotypes) are drawn from the same distribution in all populations" using exact tests (see, e.g., Genepop)
- Testing the departure from a null distribution of F<sub>ST</sub> generated by random permutations of multilocus genotypes across populations (see, e.g., Genetix or Fstat)



With genotype data from L biallelic loci for K populations, the likelihood of an individual's genotype g<sub>1</sub> in population k is (assuming HWE):

 $L(g_l | \text{pop } k) = p_{k,l}^2 \quad \text{if } g_l = AA$   $L(g_l | \text{pop } k) = 2p_{k,l}(1 - p_{k,l}) \quad \text{if } g_l = Aa$  $L(g_l | \text{pop } k) = (1 - p_{k,l})^2 \quad \text{if } g_l = aa$ 

(where  $p_{k,l}$  is the frequency of allele A in population k)

• Assuming that the *L* loci are independent, the likelihood that the individual belongs to pop *k* reads:

$$L(\text{ind.} | \text{pop } k) = \prod_{l=1}^{L} L(g_l | \text{pop } k)$$

• Using Bayes' rule, one can also compute the posterior probability that the individual comes from population *k*:

$$P(\text{pop } k | \text{ind.}) = \frac{L(\text{ind.} | \text{pop } k)P(\text{pop } k)}{\sum_{k=1}^{K} L(\text{ind.} | \text{pop } k)P(\text{pop } k)}$$

P(pop k) is the prior probability. With no prior knowledge, assume P(pop k) = 1 / K

#### **Assignment methods**

• Phylogeography and population structure of an ecotonal marsupial, *Bettongia tropical* 



 Evidence for significant structure: most individuals are assigned to their sampling location

Credits: Pope et al. (2000) Molecular Ecology 9: 2041-2053

## Clustering

- Start with a random assignment of individuals to groups (clusters). Given assignments probabilities, the allele frequencies at all loci are computed for each population
- Given these allele frequencies, each individual is reassigned to population *k* with probability
- These steps are iterated many times. In a Bayesian framework, prior distributions are defined for allele frequencies (e.g., a beta distribution)



Credits : Li et al. (2008) Science 319: 1100-1104

#### **Principal component analysis**



- The data consist of *N* individuals genotyped at *L* bi-allelic SNPs. One individual's genotype data at a locus takes value 0, 1 or 2 (corresponding, e.g., to the number of copies of the reference allele).
- Principal component analysis (PCA) of this data N x L matrix covers the major axes of genotype variance in the sample
- PCA reduces the dimension of the dataset. Descriptive approach (but see McVean 2009)

Credits : Novembre et al. (2008) Nature 456: 98-101

How do evolutionary forces affect the distribution of polymorphisms in populations?

### **Evolution in finite populations**

 Buri's experiment (1956): 107 Drosophila populations, each of which was funded with 16 individuals heterozygote for the 'brown eye' mutation (bw<sup>75</sup>)





• As time goes on, the variation within populations decreases



Ronald A Fisher

Sewall Wright

- Consider a haploid, isolated population of size N
- Consider a biallelic locus (alleles A and a). Let's note p[t] the frequence of A and q[t] = (1 p[t]) the frequency of a at time t
- No mutation
- Each generation, each individual produces a large number of gametes, (same expectation = neutrality)
- Draw *N* gametes to make the next generation (random draw in a gametic urn of infinite size)

• In a finite and constant-size population, each gene does not provide exactly one copy of itself in the next generation, but rather a variable number of copies



Credits : Graham Coop (https://gcbias.org/2015/02/11/figures-of-genetic-drift/)

- At time (*t*+1), draw *N* genes in a **infinite gametic urn** made of alleles *A* at frequency *p*[*t*] and alleles *a* at frequency *q*[*t*]
- The random variable X[t + 1] that gives the number of A copies follows a binomial distribution, with parameters N and p[t] = X[t] / N:

$$\Pr\left(X[t+1]=k\right) = \binom{N}{k} p[t]^k \left(1-p[t]\right)^{N-k}$$

Let Y[t + 1] = X[t + 1] / N = p[t + 1], be the frequency of A at generation (t + 1):

$$E\left(Y[t+1]\right) = E\left(p[t+1]\right) = E\left(\frac{X[t+1]}{N}\right) = \frac{E\left(X[t+1]\right)}{N} = p[t]$$

 In expectation, the frequency is constant from one generation to the next, but the variance increases as N decreases:

$$V(Y[t+1]) = V(p[t+1]) = V\left(\frac{X[t+1]}{N}\right) = \frac{V(X[t+1])}{N^2} = \frac{p[t]q[t]}{N}$$

#### **Founder effects in humans**



 Heterozygosity decreases as the distance from Africa increases: Prugnolle *et al.* (2005) Curr. Biol. 15: R159-R160; Liu *et al.* 2006 Am. J. Hum. Genet. 79: 230-237



 The model with 2N = 32 predicts less populations that are fixed, as compared to the observations: the variance of reproductive success is about 70% larger than what is supposed in the Wright-Fisher's model

#### **Effective population size**

- Effective population size (denoted N<sub>e</sub>) is defined as the size of an ideal Wright-Fisher's population (\*) where genetic drift would have the same intensity (\*\*) as compared to the population under scrutiny
- (\*) constant-size, randomly mating population, hermaphrodite individuals, no fitness differences between allalic types, etc.
- (\*\*) same rate of drift, same increase in inbreeding, same increase in the variance of allele frequencies, etc.

- Many definitions, and therefore many estimators of effective population size:
- Inbreeding effective size (related to the rate of increase in inbreeding)
- Variance effective size (related to the rate of allele frequency change)
- Coalescent effective size (related to the asymptotic rate of coalescence of pairs of genes)

#### **Effective population size**

- Many factors influence effective population size:
- reproductive system: selfing reduces effective size
- class-structure: e.g., biased sex-ratio reduces effective size
- age-structure: e.g., diapause or dormancy tend to increase effective size
- variance of reproductive success reduces effective size



#### Interaction of evolutionary forces: drift and mutation

- The loss of diversity due to drift might compensated by new mutations
- A useful way to characterize the amount of polymorphism in populations is to use probabilities of genetic identity
- 2 genes drawn at random are **identical if** one of them (or both) have **mutated**:

$$Q[t+1] = \left[\frac{1}{2N} + \left(1 - \frac{1}{2N}\right)Q[t]\right]\left(1 - \mu\right)^2$$

• A equilibrium:

$$\begin{aligned} \mathcal{Q} &\approx \frac{1}{1+4N\mu} \\ H &= 1 - \mathcal{Q} \approx \frac{4N\mu}{1+4N\mu} = \frac{\theta}{1+\theta} \end{aligned}$$

#### Interaction of evolutionary forces: drift and migration

- If we consider a structured population (geography, age-classes, sex, etc.), we can always define probabilities of gene identity within a class (Q<sub>w</sub>) and <u>b</u>etween classes (Q<sub>b</sub>)
- We can then use a generic definition of *F*-statistics, which depends on both identities, to measure the differentiation between classes:

$$F \equiv \frac{Q_w - Q_b}{1 - Q_b}$$

• For a spatially structured population (infinite island model), we get at equilibrium:

$$F_{\rm ST} = \frac{\gamma (1-m)^2}{\gamma (1-m)^2 + 2N \left[1-\gamma (1-m)^2\right]}$$
  

$$\approx \frac{1}{1+4Nm}$$

How to characterize the distribution of polymorphisms?



Evolution of allele frequency in an island model (6 demes, N = 1000, F<sub>ST</sub> = 0.001): 50 genes sampled in one deme



Evolution of allele frequency

#### **Distribution after 250 generations**

Evolution of allele frequency in an island model (6 demes, N = 200, F<sub>ST</sub> = 0.25): 50 genes sampled in one deme

- Deriving the allele frequency distribution f(p,t) from the Wright-Fisher model is a complex problem...
- Solution: approximating the Wright-Fisher (discrete) process by a continuous (diffusion) approximation (assuming *N* tends to infinity), which satisfies the forward Kolmogorov equation:

$$\frac{\partial f(p,t)}{\partial t} = -\frac{\partial M(p)f(p,t)}{\partial p} + \frac{1}{2}\frac{\partial^2 V(p)f(p,t)}{\partial p^2}$$

Where M(p) and V(p) are the 1<sup>st</sup> and the 2<sup>nd</sup> moments of change in p per unit of time (i.e., the *drift* and the *diffusion* coefficients)



Figure 8.3.1. Diagram to show the meaning of terms in the Kolmogorov forward (Fokker-Planck) equation as applied to population genetics. (From Kimura, 1955).

- In the Wright-Fisher model with mutation: M(p) = -v p + (1 p)μ and V(p) = p (1 - p) / N [μ is the mutation rate from a to A; v is the mutation rate from A to a]
- Stationary distribution:

$$\frac{\partial f(p,t)}{\partial t} = -\frac{\partial M(p)f(p,t)}{\partial p} + \frac{1}{2} \frac{\partial^2 V(p)f(p,t)}{\partial p^2} = 0$$
  

$$f(p,t) \sim C p^{2N\nu-1} (1-p)^{2N\mu-1}$$
  
• i.e., a beta distributions with parameters 2Ny and 2Ny

0.5

0

0.2

0.4

0.6

0.8

1

- Diffusion theory provides distributions of allele frequencies in simple models. It is usually restricted to stationary solutions
- An alternative way to characterize the distribution of variation in populations is given by coalescent theory



 In neutral models, mutations have no impact on genealogies of genes; therefore the *mutation* process can be *decoupled* from the *genealogical* process

#### The coalescent

The branch lengths (coalescence times) are exponentially distributed (k is the number of lineages, time is scaled with the population size N)



#### The coalescent



 Population size changes affect the shape of coalescent trees: "star-shaped" genealogies for expanding populations, and "shallow" genealogies for declining ones

- Coalescent theory provides a **probabilistic model** for gene genealogies
- It may simplify the analysis of population genetics models and/or their interpretation
- It is largely used to simulate efficiently the genetic variation (simulations of gene samples rather than full populations)
- It paves the way for new techniques to infer population parameters

How to infer parameters of interest from polymorphism data?

- Maximum likelihood approaches are based on a stochastic model for the evolution of gene frequencies in populations, specified by some parameters
- The aim is to estimate these parameters from the data *D* (the allele counts at different molecular markers)
- To that end, one computes the likelihood of the parameters, given the observed data *D* (i.e, the probability of the data given those parameter values)

### Likelihood in the island model: Wright's formula (1940)

• In an island model with 2 alleles (A and a), the distribution of the frequency of allele A in a deme is given by (Wright, 1940) :

$$\phi(x) = \frac{\Gamma(M)}{\Gamma(M\pi)\Gamma(M(1-\pi))} x^{M\pi-1} (1-x)^{M(1-\pi)-1}$$

- This is the probability that the frequency *x* of allele *A* in a deme that receives *M* = 4*Nm* migrants per generation
- The above formula assumes large *N*, and small *m* (diffusion approximation)

#### Likelihood in the island model: Wright's formula (1940)



• The probability to observe k alleles A in a n-sized sample of a population where the frequency of A is x, is given by (binomial distribution):

$$\Pr(k \text{ alleles } \mid x) = \binom{n}{k} x^{k} (1-x)^{n-k}$$

• Integrating over the distribution of allele frequencies:

$$\Pr(k \text{ alleles}) = \int \Pr(k \text{ alleles} \mid x)\phi(x)dx$$

#### **Maximum likelihood**

• The likelihood of a *n*-sized sample with *k* alleles A is therefore given by the following distribution (beta-binomial):

$$\Pr(k \text{ alleles}) = \frac{\Gamma(M)}{\Gamma(M+n)} \binom{n}{k} \frac{\Gamma(M\pi+k)}{\Gamma(M\pi)} \frac{\Gamma(M(1-\pi)+(n-k))}{\Gamma(M(1-\pi))}$$

- This is for one deme and one locus: with multiple demes and loci, multiply the likelihoods (conditional independence of demes and loci)
- Characterize the values of *M* and π that maximize this likelihood function (maximum likelihood estimates)

- Let's simulate some data (at a single locus), from 10 sampled demes with:
- M = 4Nm = 2 and  $\pi = 0.5$
- The data (counts of alleles A and a among 100 sampled genes) are:

<b>A</b> :	92	88	71	76	60	12	21	94	70	74
a :	8	12	29	24	40	88	79	6	30	26

#### **Maximum likelihood**



• Likelihood profile for one parameter, considering the maximum likelihood for the other parameter...

#### **Likelihood ratios**

• We may not only calculate point estimates (maximum likelihood) but also compute confidence intervals (based on likelihood ratios):

$$LR = -2\log\left(\frac{L}{L_{\max}}\right)$$

- The *likelihood ratio (LR)* is chi-squared distributed with *k* degrees of freedom (*k* being the number of parameters in the model)
- So a parameter value is included in the confidence interval if *LR* is above a given bound, which is given by the chi-square distribution with *k* degrees of freedom.

#### **Confidence intervals**



 Were this procedure to be repeated on multiple samples, the 95%CI would contain the true value of the parameter 95% of the time (frequentist interpretation)





### **Maximum likelihood**

- Limits: it is sometime very difficult (not to say impossible) to maximize the likelihood that way (too many parameters, complicated mathematical expression, etc.)
- Yet, some recent attempts to achieve maximum-likelihood inference in relatively complex models (the likelihood of the allele frequency spectrum is computed numerically using diffusion approximation)



Credits: Gutenkunst et al. (2009) PLoS Genet 5(10): e1000695

#### **Bayesian methods**

- In Bayesian statistics, it is assumed that the parameters have a probability distribution
- From Bayes' inversion formula:

$$P(\Theta \mid D) = \frac{P(D \mid \Theta) P(\Theta)}{\int P(D \mid \Theta) P(\Theta) d\Theta} = \frac{P(D \mid \Theta) P(\Theta)}{P(D)}$$
Constant, which only depends upon the data
$$P(\Theta \mid D) \propto L(\Theta; D) P(\Theta)$$
Likelihood Prior distribution

• To sample from the *posterior* distribution of the parameters, a Markov chain is constructed, with stationary distribution  $P(\Theta \mid D)$ 

#### **Metropolis-Hasting's algorithm**



Image courtesy of Peter Beerli, Florida State University, USA.

- (1) In the parameter space, start at  $\Theta$
- (2) Propose a new value  $\Theta'$  following  $q(\Theta \rightarrow \Theta')$

(3) Accept this new value with probability:  $h = \min\left(1, \frac{L(\Theta'; D)}{L(\Theta; D)} \frac{P(\Theta')}{P(\Theta)} \frac{q(\Theta' \to \Theta)}{q(\Theta \to \Theta')}\right)$ (4) Go to (1)

Credits : Excoffier et Heckel (2006) Nature Reviews Genetics 7 : 745-758

#### **Markov chain Monte Carlo**



Posterior density

#### Joint posterior distribution of the parameters







 Comparison with the likelihood: stochastic process, influenced by convergence and mixing properties of the Markov chains...

#### **Markov chain Monte Carlo**



- Marginal posterior distributions of the parameter
- Point estimates
   from the mean, or
   the mode, or the
   median
- A 95% credible interval defines an interval where the probability that the parameters lies equals 95%

#### **Felsenstein's equation**

• For most models, there is **no mathematical expression** for the likelihood of the parameters:

 $L(\Theta; D) = P(D \mid \Theta)$ 

• Yet, it is still possible to compute the probability of observing the data, conditionally on the parameters and the genealogy (using coalescent theory):

 $P(D | \Theta, G)$ 

• Therefore, the likelihood can expressed as a sum over all possible genealogies (Felsenstein's equation):

$$L(\Theta; D) = P(D | \Theta) = \int_{G} P(D | \Theta, G) P(G | \Theta) dG$$
  
Mutation Coalescent theory

- An important point to consider: genealogies are considered as **nuisance parameters**: these are important quantities in the computation, that we do not try/need to estimate
- Although we are dealing with trees, this approach is very different from phylogenetic approaches (where trees are the objects we want to estimate)

- MSVAR: a demographic model with population size change: Beaumont (1999) Genetics 153: 2013-2029
- An application example with orang-utans: Goossens *et al.* (2006) *PLoS Biology* 4(2): e25





Figure 2. Ancestral and Present Population Sizes

Figure 3. Time since the Population Collapse

#### **Approximate Bayesian Computation (ABC)**

 An alternative with complex models (when the likelihood is impossible to compute):

Approximate Bayesian

(2002) Genetics 162:

**Computation:** 

2025-2035

Beaumont *et al.* 

•

Prior distribution of Observational data model parameter θ Given a certain model, Compute summary statistic perform n simulations, each θ. µ from observational data --- θ with a parameter drawn from the prior distribution Simulation 1 Simulation 2 Simulation 3 Simulation n ... ③ Compute summary  $\mu_1$  $\mu_2$ statistic µ, for each  $\mu_{a}$  $\mu_n$ simulation ρ(μ ,μ) ≤ ε х х ④ Based on a distance ρ(·.·) and a tolerance s. decide for each simulation whether its summary statistic is sufficiently close to that of the observed ⑤ Approximate the posterior Posterior distribution of data. distribution of 0 from the distribution model parameter 0 of parameter values θ, associated with accepted simulations.

Sunnaker et al. (2013) PLoS Computational Biology e1002803

#### **Approximate Bayesian Computation (ABC)**

- A spatially explicit model to characterize the origins of the "lactase persistent" phenotype in Europe, using both genetic and archeological data:
- The origin of the coevolution between lactase persistence and dairy culture traces back to 7,500 yrs ago somewhere between Central Europe and the Balkans



#### Itan et al. (2009) PLoS Computational Biology e1000491

- Likelihood-based approaches make full use of the data (not limited to some summary statistics)
- They provide point estimates and confidence intervals, but also the likelihood (frequentist approaches) or the full posterior distribution (Bayesian approaches)
- These approaches may be much more difficult to implement (depending on whether the likelihood can or cannot be derived)
- Approximate Bayesian Computation (ABC) may be an alternative